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Image
Docket No. 214011US8/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhisa KASHIHARA, et al.

SERIAL NO: 09/955,120
FILED: September 19, 2001
FOR: OPTICAL WAVEGUIDE CIRCUIT DEVICE

GAU: 2877
EXAMINER: Kaveh C. KIANNI

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 214011US8		PATENT & TRADEMARK OFFICE		SERIAL NO. 09/955,120
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazuhisa KASHIHARA, et al.				
				FILING DATE September 19, 2001		GROUP 2877		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							
	AM							
	AN							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		YES	NO
	AO	7-113923	05/02/1995	JAPAN (with English Abstract)				X
	AP	4-241304	08/28/1992	JAPAN (with English Abstract)				X
	AQ	49-41953	11/12/1974	JAPAN				X
	AR	62-105446	05/15/1987	JAPAN (with English Abstract)				X
	AS	59-152638	08/31/1984	JAPAN (with English Abstract)				X
	AT	2000-241656	09/08/2000	JAPAN (with English Abstract)				X
	AU	WO 98/13718	04/02/1998	WIPO (with English Abstract)				X
	AV	8-139339	05/31/1996	JAPAN (with English Abstract)				X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW							
	AX							
	AY							
	AZ						<input type="checkbox"/> Additional References sheet(s) attached	
Examiner							Date Considered	

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.